

02-0702

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47590/DBP/T360  
 Inventor(s) : Kosuke Nishimura; Masashi Usami; and Hidenori Takahashi  
 Title : OPTICAL COUPLING STRUCTURE  
 Express Mail Label No. : EL903019102US

JC957 U.S. PTO  
 10/067552  
 02/04/02

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: February 4, 2002

1. X **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_.

Prior application information: Examiner ; Group Art Unit:

\_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_.

3. **APPLICATION COMPRISED OF**

**Specification**

17 Specification, claims and Abstract (total pages)

**Drawings**

5 Sheets of formal drawing(s) (FIGS. 1 to 5)

**Declaration and Power of Attorney**

X Newly executed

\_\_\_\_\_ Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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**7. ALSO ENCLOSED ARE**

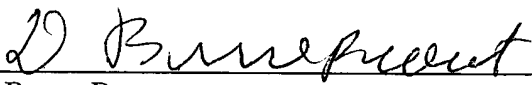
- ☒ Preliminary Amendment  
☒ Includes "Cross-Reference to Related Applications"  
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed  
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed  
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame  
☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations  
☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)  
☐ English Translation Document (*if applicable*)  
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).  
☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: February 4, 2002**

Docket No. : 47590/DBP/T360

Inventor(s) : Kosuke Nishimura; Masashi Usami; and Hidenori Takahashi

Title : OPTICAL COUPLING STRUCTURE

Duplicate X

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	8 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	x \$42.00	0 x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$740.00
List Independent Claims: 1 and 6					

**METHOD OF PAYMENT**

X Payment Enclosed: Check for \$740.00

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

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